

Consolidated Administration and Processing of Entries (CAPE) Phase 1

Last updated: April 8, 2026



On April 20, 2026, U.S. Customs and Border Protection (CBP) will launch the first phase of the Consolidated Administration and Processing of Entries (CAPE) tool in the Automated Commercial Environment Secure Data Portal (ACE Portal). CAPE will simplify International Emergency Economic Powers Act (IEEPA) duty refund requests made pursuant to court order and in accordance with appropriate statutory authority by providing an electronic pathway to submit valid IEEPA duty refund claims.

What will CAPE do?

Beginning April 20, 2026, importers and customs brokers will be able to file Phase 1 requests for refunds of IEEPA duties directly through the ACE Portal by uploading a comma-separated values (CSV) file in the new CAPE tab. The CAPE tab will be available in the Importer, Organizational Broker, and Filer sub-accounts in ACE. The CSV file, called a *CAPE Declaration*, will contain a list of entry numbers for which IEEPA refunds are requested. Each individual CAPE Declaration is limited to 9,999 entries, but multiple CAPE Declarations may be submitted. A downloadable CAPE Declaration template file will be available through the "Upload" button in the CAPE tab. This deployment does not include electronic data interchange (EDI) impacts. Filers cannot use the Automated Broker Interface (ABI) to file a CAPE Declaration.

CAPE will validate the submission and provide feedback to the filer as described below. For valid entry numbers, ACE will update the entry summary lines to remove the dutiable IEEPA Harmonized Tariff Schedule (HTS) Chapter 99 codes and duties, resulting in a new version of the entry summary. Once the IEEPA duties have been removed, ACE will recalculate the duties owed without the dutiable IEEPA HTS code(s). In general, following CBP review, entries will be liquidated or reliquidated, and refunds will be consolidated by recipient and liquidation date and then issued.

Who will use CAPE?

CAPE Declarations may be submitted by the importer of record (IOR) for the entries listed or the customs broker that filed the entries on behalf of the IOR.

What entries are covered by the Phase 1 release of CAPE?

In Phase 1, CAPE will process most entries that are either unliquidated or up to 80 days past their liquidation date. Following CBP review, these entries will be liquidated or reliquidated and refunds will be issued.

CAPE will also process entries with liquidation status of *suspended*, *extended*, or *under review*, as well as warehouse and warehouse withdrawal entries. These entries will maintain their liquidation status until resolved, and the refund, if validated, will be issued following liquidation.

Entries falling into the categories below are being evaluated for future phases of CAPE and will not be accepted on a CAPE Declaration in Phase 1:

- Entries that have been flagged for reconciliation, as well as Entry Type 09 - Reconciliation Summary;
- Entries on a drawback claim;
- Entries covered by an open protest;



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- Entries not filed in ACE, and entries without a liquidation status in ACE;
- Entries subject to Antidumping/Countervailing Duties (AD/CVD), for which the Department of Commerce (DOC) has issued liquidation instructions, that are pending liquidation in accordance with 19 U.S.C. § 1504(d); and
- Entries for which liquidation is final.

Members of the trade community are prohibited from initiating an IEEPA duty refund request by filing a Post Summary Correction.

What validations will Phase 1 of CAPE perform?

ACE will conduct two series of validations. The first series checks the CAPE Declaration, and the second series checks the individual entries listed in the file.

The CAPE Declaration file validations check whether:

- The submitter is the IOR for the listed entries or the customs broker that filed the entry summaries on the IOR's behalf;
- The CAPE Declaration contains a list of entry numbers; and
- The information is properly formatted.

If a submission fails any part of the file validation series, ACE will reject the CAPE Declaration. The results from this series of validations will be shown in the *File Upload Status* column of the *File Uploads* section of the CAPE tab. Filers can view the detailed results by selecting the *File Upload Job #* and downloading the *Validation Result File*, enabling filers to correct the identified issues and resubmit the request on a new CAPE Declaration. If the submission is successful, the CAPE Declaration proceeds to the entry validations.

The entry-specific validations check whether:

- Each entry summary number listed in the submitted CSV file exists in ACE;
- At least one dutiable IEEPA HTS Chapter 99 code was declared on each entry summary; and
- No entry summary number is duplicated in the same or prior declaration.

If an entry summary fails any of the entry-specific validations, ACE will reject that entry summary from the CAPE Declaration and continue processing the remaining entry summaries. Filers can review the results of the entry-specific validations by navigating to the CAPE *Claim Status* section, selecting the *Claim Number* and downloading the resulting file.

Who will receive refunds?

Refunds will be issued to the importer of record (IOR) or, if designated on the original entry, a "notify" party. "Notify" parties are designated using CBP Form 4811 or the ACE Portal. If the designation specifies that the "notify" party should receive refunds on the IOR's behalf, and the "notify" party is listed on an entry, any refund for that entry will be issued to the "notify" party instead of directly to the IOR.



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How will refunds be paid?

Refunds will be paid electronically by Automated Clearing House (ACH), and recipients must have U.S. bank account information designated for refunds on file with CBP. This bank account information is specific for refunds and is separate from any ACH information used to make payments to CBP. If recipients do not already have refund bank account information on file, they must provide it through the ACE Portal by accessing the ACH Refund Authorization tab in an Importer sub-account. For instructions on obtaining an ACE Portal account, adding an Importer sub-account, or submitting bank information for refunds, recipients should review the [ACH Refund Enrollment Overview page](#). The “Resources for Enrolling to Receive Customs-Related Refunds from CBP” section on the [ACE website](#) contains additional guidance for enrolling in ACH refunds.

How can refund status be monitored?

ACE Portal users with Importer sub-account access can monitor refund activity using ACE Reports. The REV-603 Trade Refund report covers successful refunds. The REV-613 ACH Rejected Refunds report highlights any refunds that have been rejected due to the recipient not being enrolled in ACH Refunds. For help with refund reports, review the [ACE Refund Report training guide](#). For more information about rejected refunds, see [Replacement Refund Instructions](#).

What additional resources are available?

- [IEEPA Duty Refunds webpage](#)
- **Training**
 - CBP will publish a Quick Reference Guide (QRG) for CAPE users on the [ACE Training and Reference Guides webpage](#).
- **ACE Portal and ACH Refunds Resources**
 - One Page Overview: [ACH Refund Enrollment](#)
 - Frequently Asked Questions: [ACE Portal and ACH Refunds FAQs](#)
 - Training Video: [Applying for an ACE Portal Importer Account and Enrolling in ACH Refunds](#)
 - Training Guide: [ACE Portal Importer Account Application](#)
 - Training Guide: [ACH Refund Enrollment in the ACE Portal](#)
 - Training Guide: [Using ACE Refund Reports](#)
 - Rejected ACH Refund Information: [Replacement Refund Instructions](#)
- **Additional Support**
 - For technical questions about IEEPA refunds, email: IEEPARefunds@cbp.dhs.gov
 - For general inquiries about IEEPA refunds, email: traderelations@cbp.dhs.gov
 - For ACE technical issues, contact the ACE Account Service Desk (ASD) at 866-530-4172 or ace.support@cbp.dhs.gov

